



Social Services Connect – Supplemental Application

GENERAL INFORMATION:

Applicant Name: _____

DBA: _____

Address: _____

City: _____ St: _____ Zip: _____

Federal ID #: _____ Website: _____

Contact Person: _____ Title: _____

Phone: _____ Email: _____

Operating as: Individual Partnership Corporation Other: _____

Tax Status: For-Profit Non-Profit Govt Facility Other: _____

Year Business Established: _____ Years Under present Management: _____

Has the Applicant (including owners, managers, partners or administrators) ever been arrested, charged or convicted of any civil or criminal violations? Yes No

Attach list of all Subsidiaries and Additional Named Insureds to be included. List Attached N/A

Provide a brief description of your operations and activities:

Coverage Effective Date: _____

Quote is requested for the following coverages (check all that apply and attach completed Acords):

Property Auto General Liability Professional Liability Abuse & Molestation Excess/Umbrella

Crime Inland Marine D&O / EPL Other: _____

Additional Supplemental Questionnaires Attached:

Big Brother-Big Sister Programs Animal Welfare Homecare Hospice

Social Services Connect – Supplemental Application

Indicate ALL Programs administered by the Insured (check all that apply):

Children's Programs		Community Services	
Adoption	<input type="checkbox"/>	Battered Women's Shelter	<input type="checkbox"/>
After School Care	<input type="checkbox"/>	Community Action Programs	<input type="checkbox"/>
Big Brothers/Big Sisters	<input type="checkbox"/>	Community Centers	<input type="checkbox"/>
Boys & Girls Clubs	<input type="checkbox"/>	Counseling	<input type="checkbox"/>
Charter Schools	<input type="checkbox"/>	Family Planning	<input type="checkbox"/>
Children & Teen Shelters	<input type="checkbox"/>	Food Bank/Commodity Distribution	<input type="checkbox"/>
Children's Home	<input type="checkbox"/>	Foundations/ Funding Sources	<input type="checkbox"/>
Day Care (Special Needs)	<input type="checkbox"/>	GED Programs	<input type="checkbox"/>
Early Childhood Intervention	<input type="checkbox"/>	Goodwills/ Thrift Stores	<input type="checkbox"/>
Foster Care/ Therapeutic Foster Care	<input type="checkbox"/>	Homeless Shelters	<input type="checkbox"/>
Head Start/Early Head Start	<input type="checkbox"/>	Information/Education/Referral Services	<input type="checkbox"/>
Jewish Community Centers	<input type="checkbox"/>	Rape Crisis Centers	<input type="checkbox"/>
Medically Fragile	<input type="checkbox"/>	Transportation Services	<input type="checkbox"/>
Residential Treatment Centers	<input type="checkbox"/>	Vocational/Job Training	<input type="checkbox"/>
Schools - Special Needs	<input type="checkbox"/>	YWCA's	<input type="checkbox"/>
Other _____	<input type="checkbox"/>	Clinic - Private	<input type="checkbox"/>
Other _____	<input type="checkbox"/>	Clinic – Open to the Public	<input type="checkbox"/>
Other _____	<input type="checkbox"/>	Other _____	<input type="checkbox"/>

Senior Programs		Specialty Service Programs	
Adult Day Care	<input type="checkbox"/>	Autism Programs	<input type="checkbox"/>
Companion Services/Home Maker	<input type="checkbox"/>	Cerebral Palsy	<input type="checkbox"/>
Home Health	<input type="checkbox"/>	Developmentally Disabled	<input type="checkbox"/>
Meals On Wheels	<input type="checkbox"/>	Group Homes	<input type="checkbox"/>
Senior Citizens Centers	<input type="checkbox"/>	Handicapped	<input type="checkbox"/>
Weatherization Program	<input type="checkbox"/>	Mental Illness	<input type="checkbox"/>
Other _____	<input type="checkbox"/>	Intellectual Disability	<input type="checkbox"/>
Other _____	<input type="checkbox"/>	Other _____	<input type="checkbox"/>
Other _____	<input type="checkbox"/>	Other _____	<input type="checkbox"/>

Social Services Connect – Supplemental Application

Do you lease, sublease or rent any premises to others? Yes No

If Yes, do you obtain certificates of insurance from lessee? Yes No

Do you sell any goods or services to others? Yes No

Products: _____ Annual Receipts: \$ _____

Services: _____ Annual Receipts: \$ _____

Do you accept clients with any of the following:

Prader-Willi Syndrome: Yes No #: _____

Schizophrenia: Yes No #: _____

Velocardial Facial Syndrome: Yes No #: _____

Adjudicated Sex or Violent Offenders: Yes No #: _____

Lesch-Nyhan Syndrome: Yes No #: _____

“Profound” Intellectual Disability: Yes No #: _____

PRIOR INSURANCE COVERAGE:

Has any insurance carrier canceled or non-renewed? (Missouri applicants need no reply) Yes No

List below prior carrier insurance. If None, check here and provide explanation: N/A

Loss History Required. Submit currently valued carrier loss runs for last five (5) years. If None, check here and provide No Known

Loss Letter: N/A

Coverage	Policy Period	Insurance Carrier	Limit of Liability	Deductible	Premium	Retroactive Date
General Liability						
Professional Liability						
Excess Liability						
Property						
Automobile						
Abuse & Molestation						
D&O / EPL						
Other: _____						
Other: _____						

Is applicant aware of any recent circumstance which may result in any claim or suit being made and not recorded on loss runs provided? Yes No

Social Services Connect – Supplemental Application

FINANCIALS:

Number of Employees # Full-Time: _____ # Part-Time: _____ # Volunteers: _____

Total Assets: \$ _____ Current Operating Budget: \$ _____

Annual Budget for Past 2 years: 20____: \$ _____ 20____: \$ _____

Primary Funding Source: _____

Have you ever filed for protection under Chapter 11 or Chapter 7 of Bankruptcy code (Title 11 US Code)? Yes No

If yes, please explain: _____

Have you discontinued any operations, made acquisitions or sold operations in the last 5 years? Yes No

If yes, describe: _____

LICENSING/ACCREDITATIONS/CERTIFICATIONS:

List all State Agency(s) where you hold licenses: _____

Are all applicable licenses and permits current/active? Yes No

If no, please explain: _____

Expiration Dates of current State Licenses: Health: _____

Residential: _____

Others: _____

Has any license ever been lost, revoked or suspended? Yes No

If Yes, explain: _____

What state and national Organization(s) or Association(s) are you a member of?

Is Applicant accredited (e.g. CARF, ACO, JCAHO, etc)? Yes No

If yes, what agency/program, level and expiration date: _____

List Accreditations and Certifications:

Are there any Serious Deficiencies noted in most recent Re-Certifications/Compliance Audits/State Surveys? Yes No

If Yes, attach separate sheet and describe the deficiency and your response to each.

Social Services Connect – Supplemental Application

RISK MANAGEMENT:

Camps: Check here if Not Applicable

- a) Are the following obtained from all participants and/or parent/legal guardian?
 - Written Permission
 - Waiver of Liability
 - Medical Release Form
- b) Check here if an Overnight Camp ; What is the average length of stay: _____
- c) What are the months/days/hours of operation? _____
- d) # of Children annually: _____ # Staff at each Camp: _____ Ratio of Campers to Staff: _____
- e) Are sleeping quarters segregated by sex/gender? Yes No
- f) What staff qualifications are required? _____
- g) Camp Operations/Activities include (check all that apply):
 - Obstacle Course Motor Boats Archery Jet Skis/Wave Runners Pool Lake Guns
 - Rock Climbing Ropes Course Horses Adventure/Wilderness Experiences Paint Ball Zip Lines
 - Scuba Contact Sports White Water Rafting Skiing
 - Other: _____
- h) Are all calls recorded for documentation purposes? Yes No

Crisis Hotline: Check here if Not Applicable

- i) Annual number of calls received through the hotline: _____
- j) What are the hours of operation? _____
- k) Do you have written procedures for engaging the authorities/police? Yes No
- l) Do you maintain a detailed log of all calls? Yes No
- m) Are all calls recorded for documentation purposes? Yes No

Fitness Area/Center: Check here if Not Applicable

- a) Days/Hours of Operation: _____
- b) Is the center supervised during all hours of operation? Yes No
- c) Is the center adequately secured to protect clients/staff? Yes No
- d) Is all equipment regularly checked and maintained to meet all safety requirements/guidelines? Yes No

Food Bank: Check here if Not Applicable

Thrift Store : Check here if Not Applicable

- a) Are aisles kept clear and unobstructed? Yes No
- b) Are forklift operators properly trained and supervised? Yes No
- c) Are all goods sorted and checked and spoiled/damaged/hazardous items destroyed prior to stocking? Yes No

Food Preparation Facilities: Check here if Not Applicable

- a) Food preparation equipment is: Electric Gas Propane Other: _____
- b) Cooking equipment is: Residential Commercial
- c) Cooking equipment is equipped with: Hoods Ducts Exhaust Fans
 Automatic Fire Suppression System Automatic Fuel Shutoff Controls Other: _____
- d) Is food properly covered, stored and served to meet state/local Health Guidelines? Yes No

Social Services Connect – Supplemental Application

Does Applicant or Staff prescribe medications? Check here if Not Applicable Yes No

- a) If yes, what medications are prescribed: _____
- b) Are all medications kept in a secured, locked cabinet? Yes No
- c) Do you have current policies/procedures for prescribing/administering medication? Yes No
- d) Do you have segregation of duties for receiving, distributing and destroying medications? Yes No
- e) Are medication logs maintained and verified by management? Yes No

Playground: Check here if Not Applicable

- a) Days/Hours of Operation: _____
- b) Is the playground supervised during all hours of operation? Yes No
- c) Is the area fenced with a self-locking gate? Yes No
- d) Is the play surface “kid friendly”? Yes No
If yes, describe: _____
- e) Is all equipment regularly checked and maintained to meet all safety requirements/guidelines? Yes No

Is a Pool Lake Pond Body of Water located on the premises? Yes No

- a) Open to the public? Yes No
- b) Is a certified lifeguard on duty at all times? Yes No
- c) Is the area fenced with a self-locking gate? Yes No
- d) Are No Trespassing signs visibly posted? Yes No
- e) Are Rules of Use posted to meet all local and state guidelines? Yes No

Residential Facilities and Owned Building Exposures: Check here if Not Applicable

- a) Do you provide twenty-four (24) hour supervision? Yes No
- b) Do you have smoke detectors in each room? Yes No
- c) Are smoke detectors hard-wired and connected to Central Station or Local? Yes No
- d) Emergency lighting system as required by NFPA 101, Life Safety Code? Yes No
- e) Are there at least two exits from each floor with lighted exit signs? Yes No
- f) Adequate fire extinguishers according to local code? Yes No
- g) Posted emergency evacuation plan? Yes No
- h) How often are drills conducted? _____

Sheltered Workshop: Check here if Not Applicable

- a) Describe work/product being performed: _____
- b) Do you perform industrial subcontracted work? (ie packing, assembly, manufacturing, etc.) Yes No
- c) What company label goes on the product? _____
- d) Who is the ultimate user of the product? _____

Social Services Connect – Supplemental Application

- e) Do any of your products/work go into (check all that apply):
 Toys Children's Clothing/Furniture Aircraft Watercraft Sporting Goods Tools/Equipment
 Machinery Motorized Devices Chemicals/Drugs Food Products Cosmetics
 Appliances Electrical Apparatus
- f) Any renovation or processing of used material? Yes No
If Yes, describe: _____
- g) Are flammables stored in proper receptacles? Yes No
- h) What controls are in place for painting, stripping, finishing, welding, metal working, woodworking etc?

- i) Are hazardous operations separated? (ie spray booths, welding booths, etc.) Yes No
If Yes, explain: _____
- j) Provide date of last OSHA inspection: _____
- k) Is there proper ventilation for the work being performed? Yes No
- l) Describe frequency and type of waste disposal: _____
- m) Describe the quality and safety control program in place or provide a copy of the safety program.

Therapeutic Horseback Riding: Check here if Not Applicable

- n) Are liability waivers signed by all participants and/or parents/guardians? Yes No
- o) Do you follow North American Riding for the Handicapped standards? Yes No
- p) Are all instructors properly licensed/certified? Yes No
- q) Identify safety precautions taken: Fastened to saddle Safety Helmets Other: _____
- r) Average years of staff experience: _____ ; Ratio of Riders to Counselors: _____

Does your agency have procedures for Incident Reporting? Yes No

- a) Is staff made aware of Incident Reporting Procedures? Yes No
- b) Are your program participants instructed on how to report incidents? Yes No
- c) Does your agency have an active committee that reviews incidents? Yes No

Do you have sign-in/sign-out procedures for: Staff Clients/Residents Visitors/Public

Type of security for clients/residents: Guards Security Cameras Other: _____

Describe measures utilized to monitor client activities:

Describe precautions taken to prevent non-staff members from accessing unauthorized areas of the property:

Social Services Connect – Supplemental Application

- Do you have a plan for medical emergencies? Yes No
- Is a staff person trained in CPR and first aid on the premises at all times? Yes No
- Do you have AED's? Yes No
- Are staff members properly trained to use AED's? Yes No
- Do you have a written and enforced "NO SMOKING" policy? Yes No

What method do you use for de-escalation: _____

Is it approved? Yes No How often is staff re-certified? _____

- Do you use padded rooms? Yes No
- Do you use electric shock treatment? Yes No
- If the building you occupy was built before 1978, has it been inspected for lead paint? Yes No

If no, what is the plan for abatement? _____

- Do you have any plans for renovations or new construction? Yes No

If yes, describe: _____

STAFFING:

Number of Employees # Full-Time: _____ # Part-Time: _____ # Volunteers: _____

Annual Payroll: \$ _____ Turnover Ratio: _____

	Employees		# Volunteers	# Contractors	# Interns
	# F/T	# P/T			
Counselor - Unlicensed					
Dietitian/Nutritionist					
Home Health Aide, Homemakers, Companions, Clerical and Administrative Staff					
Medical Director					
Nurse LPN, Dental Assistant, Pharmacy Technician					
Nurse Practitioner					
Nurse RN					
Pharmacists					
Psychiatrist/Optometrlist/Dentist					
Psychologist/Clergy					
Physician Asst/Paramedic/EMT					
Physician					
Residential Manager or Care Provider					
Social Worker/Counselor - Licensed					
Social Worker – Unlicensed					
Teacher/Tutor/Aide/Child Care Worker					
Therapist – Occupational					
Therapist - Physical/Speech/Hearing					
Other (Specify): _____					
Other (Specify): _____					
Other (Specify): _____					
Total					

Social Services Connect – Supplemental Application

Has the Applicant entered into any agreements relating to professional liability (such as a Professional service contract with any of the above) which contains either a hold harmless agreement, indemnification agreement, or any other professional agreement? Yes No

If yes, submit a copy of each agreement.

Do you obtain Certificates of Insurance and Hold Harmless Agreements from your community/contracted professional services providers? Yes No

Describe any additional measures over and above national standards that you utilize:

Do you require your staff (paid and volunteer) to complete an employment application? Yes No

- a) Do you conduct a personal interview for each prospective staff member? Yes No
- b) Do you verify education references? Yes No
- c) Do you verify employment related references? Yes No
- d) Do you verify licenses and credentials? Yes No
- e) Do you obtain criminal background checks on all individuals before hiring? Yes No
- f) Do you require drug tests on all staff members, including drivers? Yes No

g) What are your procedures for evaluating these reports: _____

h) What actions are taken if a report is considered unfavorable? _____

Do all staff members have written job descriptions? Yes No

Are any staff members under the age of 18? Yes No

If yes, list position/job duties: _____

Do you provide workers' compensation for all staff members? Yes No

Do psychiatrists prescribe any experimental drugs? Yes No

Has any client/resident/patient ever committed suicide? Yes No

If yes, explain: _____

Physicians & Psychiatrists:

Name	Dr. _____	Dr. _____	Dr. _____
Specialty			
Board Certified or Eligible?			
Years in Practice			
License #			
Hours/wk for Insured			
Employed or Contracted?			
Malpractice carried?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
• If yes, does coverage include acts while working for Applicant?			
• If yes, does coverage include contingent coverage for Applicant?			
Describe claims during past 5 years?			

Social Services Connect – Supplemental Application

RESIDENTIAL and OUTPATIENT FACILITIES: Check here if Not Applicable

Resident Type	# Beds	Resident Type	# Beds	Resident Type	# Beds
Acute Skilled Care		Inpatient Crisis Center		Respite Care	
Seniors		Low-Income Housing		Transitional Housing	
Group Home		Shelter – Abuse Victims		Children’s Home	
Hospice		Shelter – Homeless		Troubled Teen	
Independent Living		Shelter – Other		Other (Specify):	

For OUTPATIENT FACILITIES, identify the Type of Service and # of Visits for each below:

Type of Service	# Visits	Type of Service	# Visits	Type of Service	# Visits

of Non-Ambulatory Patients: _____ Are any non-ambulatory patients above the first floor? Yes No

ABUSE & MOLESTATION:

What is the age group of clients? Under 7: _____ % 7 thru 13: _____ % 14 thru 17: _____ %
 18 thru 25: _____ % 26 thru 65: _____ % Over 65: _____ %

What is the ratio of staff to clients? _____

Is there more than one staff-person responsible for the welfare of any single client? Yes No

If yes, please describe: _____

Are there rules or guidelines prohibiting closed door one-on-one meetings? Yes No

Are there written complaint procedures and are they displayed prominently? Yes No

If no, please describe why unnecessary: _____

Do all employees meet the minimum mandated educational or professional experience level for the position assigned? . Yes No

Do volunteers work directly with clients? Yes No

If yes, please describe the degree of their job function and responsibilities: _____

Have any employees been the subject of a child abuse/neglect investigation? Yes No

If yes, what were the results of the investigation? _____

What procedures have been implemented to prevent re-occurrences of previous events? _____

For residential risks, what steps are taken to ensure client-to-client contact is avoided, i.e. separating male from female sleeping quarters, describe: _____

Are children of different age groups housed together? Yes No

If yes, please describe: _____

Are children left alone without any adult supervision? Yes No

If yes, please describe: _____

List situations where an employee or volunteer has direct contact with clients in an unsupervised situation without oversight of another staff member.

Social Services Connect – Supplemental Application

Is any counseling conducted off premises, i.e. clients' or counselors' homes? Yes No

If yes, by whom and what type of clients: _____

Is any counseling provided after normal business hours? Yes No

If yes, describe: _____

If transportation is provided, is there more than one adult present at all times? Yes No

Are written procedures provided to ALL staff on recognizing the signs of abuse including reporting procedures? Yes No

Are accused employees removed from client care responsibilities pending outcome of investigation? Yes No

PLANNED EVENTS/FUND RAISERS: Check here if Not Applicable

Do you sponsor any field trips? Yes No

of Field Trips per Year: _____ Any Overnight Trips? Yes No

What is the maximum distance traveled? _____ Are release forms obtained? Yes No

a) What controls are exercised?

b) Describe the types of trips:

c) What measures are taken to ensure no one is left behind?

d) Are certificates of insurance obtained from all vendors providing products/services?

Event Questions	Event #1	Event #2	Event #3	Event #4
Use for Type of Event: A = Wine Tasting; B = Golf Outing; C = Other Sporting Event; D = Picnic; E = Banquet; F = House Tour; G = Bingo; H = Walkathon/Run; I = Fashion Show; J = Concert ; K = Other (specify)				
Type of Event (see above list)				
Date/s of Event				
Daily Hours				
# of Days				
Revenue				
Location				
Attendance				
Will alcohol be served?				
Do any sporting events involve motorized vehicles?				
Do participants show proof of personal health insurance?				
Does any event involve the following:				
Motorized vehicles for sporting events				
Large animals (ie horses, livestock, etc.)				
Wild animals				
Aircraft or watercraft				

Social Services Connect – Supplemental Application

AUTOMOBILE: Check here if Not Applicable

- Are there any drivers under the age of 21 years old? Yes No
- Do all drivers possess the necessary license required for the type of vehicle being driven? Yes No
- Are all of your vehicles equipped with seat belts? Yes No
- a) Do you have written and strictly enforced guidelines, mandating all passengers are secured in their seatbelts? .. Yes No
- b) Would you ever make an exception based on a medical condition? Yes No
- Does Applicant obtain MVR's on all drivers? Yes No
- a) If yes, how often? _____
- b) Do you have written criteria on driver acceptability regarding MVRs? Yes No
- Does the insured maintain driver's record files? Yes No
- a) Does it include: date of hire dates of training drug tests
 MVR and date ordered and received reference checks disciplinary actions Yes No
- Does Applicant have a safe driver incentive program? Yes No
- a) If yes, describe. _____
- Describe your procedures related to driver accidents or violations.
- _____

- Does Applicant furnish anyone with an auto? Yes No
- b) If yes, are relatives ever allowed to operate Applicant's vehicle/s? Yes No
- Does Applicant have an accident investigation program? Yes No
- a) Do you keep a file on all accidents? Yes No
- How many employees/volunteers drive personal vehicles for business use? _____ Regularly Occasionally
- a) Do you obtain proof of insurance for anyone driving for business purposes? Yes No
- b) Do you update these records at least semi-annually? Yes No
- c) Do you require at least \$300,000 in minimum limits? Yes No
- d) Do you verify with a photocopy of the policy or other? Yes No
- Is there a vehicle maintenance program in place? Yes No
- a) Do drivers have procedures for reporting, repairing and servicing vehicles? Yes No
- b) If yes, daily, weekly, or other (specify)? _____
- How do you enforce rules and/or procedures to assure compliance?
- _____

- Does Applicant have annual competency-based performance reviews conducted on drivers of the mobility assistance/wheelchair van that includes:
- a) Operation of the lift or ramp system Yes No
- b) Security the wheelchair and patient Yes No
- c) Unloading wheelchair and patient Yes No
- d) Use of company communications system Yes No
- Do you hire vehicles? Yes No
- a) If yes, what types of vehicles do you hire? _____
- Annual # of Vehicles Hired: _____ Annual Cost of Hire: _____
- Do you hire from a transportation company? Yes No
- a) Do you obtain certificates of insurance? Yes No
- b) What minimum limits do you require? _____

Social Services Connect – Supplemental Application

NOTICE TO APPLICANTS:

In most states, any person who knowingly, with intent to defraud, files an application for insurance containing any materially false information or who, for the purpose of misleading, conceals information concerning any fact material hereto, commits a fraudulent act, which is a crime.

APPLICANT'S SIGNATURE

(A quote will not be provided without an applicant's signature.)

APPLICANT'S PRINTED NAME

DATE

BROKER/AGENT'S SIGNATURE

BROKER/AGENT'S PRINTED NAME

DATE

Affinity Nonprofits

a division of Affinity Insurance Services, Inc.

1120 20th Street, NW | Suite 600 | Washington, DC | 20036

t. 800-432-7465 | f. 800-701-1982 | socialservice@affinitynonprofits.com | www.affinitynonprofits.com